

APPLICATION FORM NO.

HDFC AMC International (IFSC) Ltd.



APPLICATION FORM

of

Name of Investor

Please indicate the Scheme in which investment is proposed:

- ☐ HDFC International - Developed Markets Equity Fund
- ☐ HDFC International - Emerging Markets Equity Fund

HDFC AMC International (IFSC) Limited

Registered Office: 1115-A Block 13-B, Zone 1, 11th Floor Hiranandani Signature,
SEZ GIFT City, Gandhinagar, Gujarat, India - 382 355.
Tel.: 079 69286110 **Email:** international@hdfcfund.com

DISTRIBUTOR/ REFFERING PARTY DETAILS

(This section is to be filled only if the Investment is sourced through a **Distributor**. Where the investment is being done through the **Direct mode**, keep this section blank.)

Name:

Distributor Code:

RM 1 Name:

Mobile Number:

Email ID:

HDFC AMC RM 1 DETAILS					HDFC AMC RM 2 DETAILS				
Name					Name				
Location					Location				
Zone					Zone				
Mobile No.					Mobile No.				
Email id					Email id				

TABLE OF CONTENT

	Particulars	Page No.
Part A	Application Form (Individual)	3
Part B	Form for Subscription	9
Annexure 1	Bank Details	11
Annexure 2	FATCA Instructions & Definitions	12
Annexure 3	CERSAI Form (If CKYC not done & KIN is not available)	16

Instruction Note:

In case of **existing investor**, only Part B is required to be completed.

In case of **new investor**, please complete both Part A and Part B.

PART A – APPLICATION FORM (INDIVIDUAL)

CHECKLIST FOR INDIVIDUAL INVESTOR

For Individual / Joint Investor / Minor

Documents	Resident Individual		Non-Resident Individual	
	Documents	Please Tick	Documents	Please Tick
PAN Card of all Holder	A	☐	A	☐
Address Proof of all holders (Copy of Passport or Driving license or copy of National Identity Card or Copy of masked Aadhar or Identity card with applicant's photograph issued by Central/State Government Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, and Public Financial Institutions)	A	☐	A	☐
Bank Proof (Bank statement/ Bank Letter with complete account details, Cheque leaf)	A	☐	A	☐
Photograph (2)	A	☐	A	☐
Nominee Identity Proof (if applicable)	A	☐	A	☐
CERSAI Form (to be filled if CKYC No. not provided)	A	☐	A	☐
Overseas Address Proof (in case of NRI/ Foreign national/ Foreign Corporation)	N.A.		A	☐
Proof of India Visit (in case of NRI/ Foreign national)	N.A.		A	☐
Passport Copy	N.A.		A	☐
TIN or TIN equivalent (for NRI/ Foreign Individual/ Foreign Corporations)	N.A.		A	☐
Tax Residency Certificate/ Overseas Residency Proof	N.A.		A	☐

[A] - Applicable

[N.A.] - Not Applicable

Note: In case of person resident in India, supporting documents shall be as specified in the Investor Application Form. Please ensure that all the supporting documents are self-attested by the Investor and In-person verification (IPV) Stamp with Employee Name, Designation, Name of the Organization, Employee Code, Signature, Date & company stamp. Original seen & verified ("OSV") stamp is also required. Alternatively, the KYC and supporting documents shall be certified to be "True Copy" and shall be certified by the following authorised officials:

a) Authorized official of Bank b) Notary Public c) Chartered accountant d) Lawyer.

1 GENERAL INFORMATION (Please fill in BLOCK LETTERS)Account Type ☐ Normal ☐ Minor Mode of Operation ☐ Single ☐ Jointly

Name of the First Applicant

Date of Birth Gender ☐ Male ☐ Female ☐ OthersPAN TIN CKYC Number (KIN) Nationality PHOTOGRAPH
Cross Signed

Name of the Second Applicant

Date of Birth Gender ☐ Male ☐ Female ☐ OthersPAN TIN CKYC Number (KIN) Nationality Relationship to First Applicant PHOTOGRAPH
Cross Signed

Guardian (in case of Minor)

Date of Birth Gender ☐ Male ☐ Female ☐ OthersPAN TIN CKYC Number (KIN) Nationality Relationship to Minor PHOTOGRAPH
Cross Signed**Contact Details of First/ Sole Applicant/ Guardian (in case of Minor)**Email ID Tel.: (Off) Tel.: (Res) Mobile No. **Correspondence Address**House No./ Building Name Street/ Locality City/ Town/ Village Postal Code State Country **Permanent Address** ☐ Please tick if same as correspondence addressHouse No./ Building Name Street/ Locality City/ Town/ Village Postal Code State Country

2 KYC DETAILS OF SOLE/ FIRST APPLICANT

Categories	Sole/First Applicant	Second Applicant	Guardian (In case of Minor)
Occupation	☐ Private Sector Service ☐ Agriculturist ☐ Public Sector Service ☐ Retired ☐ Government Service ☐ Housewife ☐ Business ☐ Student ☐ Professional ☐ Forex Dealer ☐ Others (Please specify) _____	☐ Private Sector Service ☐ Agriculturist ☐ Public Sector Service ☐ Retired ☐ Government Service ☐ Housewife ☐ Business ☐ Student ☐ Professional ☐ Forex Dealer ☐ Others (Please specify) _____	☐ Private Sector Service ☐ Agriculturist ☐ Public Sector Service ☐ Retired ☐ Government Service ☐ Housewife ☐ Business ☐ Student ☐ Professional ☐ Forex Dealer ☐ Others (Please specify) _____
Gross Annual Income	☐ Below \$10,000 ☐ \$10,000 to \$50,000 ☐ \$50,000 to \$100,000 ☐ Above \$100,000	☐ Below \$10,000 ☐ \$10,000 to \$50,000 ☐ \$50,000 to \$100,000 ☐ Above \$100,000	☐ Below \$10,000 ☐ \$10,000 to \$50,000 ☐ \$50,000 to \$100,000 ☐ Above \$100,000
PEP Disclosure*	☐ Not a Politically Exposed Person ☐ Politically Exposed Person ☐ Related to Politically Exposed Person	☐ Not a Politically Exposed Person ☐ Politically Exposed Person ☐ Related to Politically Exposed Person	☐ Not a Politically Exposed Person ☐ Politically Exposed Person ☐ Related to Politically Exposed Person
In case of Salaried	Name of Employer: _____ Place of Work: _____	Name of Employer: _____ Place of Work: _____	Name of Employer: _____ Place of Work: _____
In case of Occupation stated as Business	Name of Business: _____ Nature of Business: _____ Registered Address: _____ Operating Countries: _____	Name of Business: _____ Nature of Business: _____ Registered Address: _____ Operating Countries: _____	Name of Business: _____ Nature of Business: _____ Registered Address: _____ Operating Countries: _____
Source of Funds	☐ Savings ☐ Salary ☐ Business Income ☐ Gift/ Inheritance ☐ Loan ☐ Investment Earnings ☐ Others (Please Specify) _____ 	☐ Savings ☐ Salary ☐ Business Income ☐ Gift/ Inheritance ☐ Loan ☐ Investment Earnings ☐ Others (Please Specify) _____ 	☐ Savings ☐ Salary ☐ Business Income ☐ Gift/ Inheritance ☐ Loan ☐ Investment Earnings ☐ Others (Please Specify) _____
Source of Wealth**			

*PEP are defined as individuals who are or have been entrusted with prominent public functions in a Foreign Country, e.g. Heads of States or of Governments, senior politicians, senior Government / judicial / military officers, senior executives of state owned corporations, important political party officials, etc.

** The Investor's source of wealth can be described as the activities which have generated overall wealth and are distinct from the source of funds. The Investor's overall wealth may have been accumulated from a number of different sources over a period of time and complete details must be provided. Please describe below the total wealth and the source of your wealth:

3 BANK DETAILS

Account Name

Bank Name

Bank Branch

Bank Account Number Account type ☐ Savings ☐ Current

IFSC Code		Bank SWIFT Code	
Routing No. (for USA)	9 digit code	Sort Code (for UK)	XX-XX-XX
BSB Code (for Australia)	XXY-ZZZ	Beneficiary National ID/ Corporate ID (Saudi Arabia)	
IBAN No. (Middle East Countries, Europe, Turkey and Other Countries)		Up to 34 Alpha Numeric fields	

Bank Address

State Country

Correspondent Bank*

Correspondent Bank SWIFT Code*

*Mandatory for International Bank Account

Bank Details For Redemption Or Payout

☐ Tick if Same as above

Account Name

Bank Name

Bank Branch

Bank Account Number Account type ☐ Savings ☐ Current

IFSC Code		Bank SWIFT Code	
Routing No. (for USA)	9 digit code	Sort Code (for UK)	XX-XX-XX
BSB Code (for Australia)	XXY-ZZZ	Beneficiary National ID/ Corporate ID (Saudi Arabia)	
IBAN No. (Middle East Countries, Europe, Turkey and Other Countries)		Up to 34 Alpha Numeric fields	

Bank Address

State Country

Correspondent Bank*

Correspondent Bank SWIFT Code*

*Mandatory for International Bank Account

4 FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) & CRS DETAILS

	Sole/First Applicant/Guardian	Second Applicant	Guardian (In case of minor)
Country of Birth	☐ India ☐ Others*	☐ India ☐ Others*	☐ India ☐ Others*
Place of Birth			
Name of Spouse			
Name of Father/ Guardian			
Citizenship/ Nationality	☐ Indian ☐ Others*	☐ Indian ☐ Others*	☐ Indian ☐ Others*
Are you also a Resident of any other country(ies) for Tax Purpose?	☐ No ☐ Yes If yes, complete the section below	☐ No ☐ Yes If yes, complete the section below	☐ No ☐ Yes If yes, complete the section below
US Person	☐ No ☐ Yes	☐ No ☐ Yes	☐ No ☐ Yes
Permissible Documents (If PAN not provided)	☐ Passport ☐ Election ID Card ☐ Government ID ☐ UIDAI ☐ Others (Please Specify)	☐ Passport ☐ Election ID Card ☐ Government ID ☐ UIDAI ☐ Others (Please Specify)	☐ Passport ☐ Election ID Card ☐ Government ID ☐ UIDAI ☐ Others (Please Specify)
Document ID Number			
Country of Tax Residency 1			
TIN 1			
Identification Type 1			
If TIN is not available, please tick the reason A, B or C**	Reason: ☐ A ☐ B ☐ C	Reason: ☐ A ☐ B ☐ C	Reason: ☐ A ☐ B ☐ C
Country of Tax Residency 2			
TIN 2			
Identification Type 2			
If TIN is not available, please tick the reason A, B or C**	Reason: ☐ A ☐ B ☐ C	Reason: ☐ A ☐ B ☐ C	Reason: ☐ A ☐ B ☐ C

* Please Specify

** Reason A: The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents; Reason B: No TIN required (Select this reason only if the authorities of the respective country of tax residence do not require the TIN to be collected); Reason C: Others - Please state the reasons thereof.

5 DECLARATIONS/ UNDERTAKING/ INDEMNITY BY APPLICANT

- I hereby declare that the information provided is, to the best of my knowledge and belief, accurate and complete.
- I / We have disclosed all the details properly and correctly in this Application Form and I / We undertake to inform you of any changes therein, immediately. In case of any of the said information disclosed by me/us is found to be false or untrue or misleading or misrepresenting in any manner, I / We are aware that I / We may be held liable for it. I / We hereby declare that I / We are not making this Application in contravention of any applicable laws. I / We undertake to provide all the disclosures as required under IFSCA (Fund Management) Regulations 2025, Prevention of Money-Laundering Act, 2002 as amended from time to time or any other applicable Acts/ Regulations/ circulars.
- I / We confirm that for administrative purpose the remittance of the contribution amount is being done through single bank account, via Bank transfer/ SWIFT as per the details mentioned above. We hereby confirm that we have made contributions to the aforesaid bank account from which the investment is being done.
- I / We have received the Offer Document provided by the Investment Manager and have read and understood and accepted the same unconditionally. I / We have read and understood the terms and conditions of the Offer Document and agree to sign and abide by the same.
- I hereby declare that the funds invested and/ or to be invested by me in the Fund is procured through legitimate sources and is not held or designed for the purpose of contravention of any act, rules, regulations or any statute or legislation including Prevention of Money-Laundering Act, 2002 or any other applicable laws or any directions issued by any governmental or statutory authority from time to time.
- I / We hereby confirm and understand that my/our Application, and other documents attached are subject to verification and scrutiny for compliance with the "KYC requirements" prescribed, from time to time, by HDFC AMC International (IFSC) Limited ("FME") (under the Prevention of Money Laundering Act, 2002 and other applicable Acts and Regulations and I / We hereby understand that my / our application can be rejected for any / all reasons including but not limited to discrepancy, noncompliance, non- completion with the documentation requirements without any reason being assigned therefor by HDFC AMC International (IFSC) Limited.
(**"KYC Requirements" means the Know Your Client requirements which are required to be fulfilled by the Investment Manager and includes the obligation of the Client to provide completely and correctly all supporting documents as may be required or determined, from time to time by the Investment Manager for compliance with the regulatory obligations.)
- I / We hereby authorize and provide our consent to the FME/its Fund(s) to use any / all of my/our personal information in this application form along with KYC documents, for the purpose of creation of new folio in other Fund(s)/scheme(s) offered by the FME.
- I / We confirm that in the event of any (a) non-compliance of KYC requirements and/or (b) non-compliance of any regulatory stipulated eligibility conditions and/or (c) non-compliance of any Applicable laws (d) non-receipt of original physical Fund onboarding and certified KYC documents

by the FME/its Fund due to my failure to submit the same; the FME/its Fund(s) reserves the right to freeze the folio accounts of the investor(s) and/ or effect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any.

- I / We also agree to co-operate with the FME for the purposes of any regulatory requirements and for any additional documentation or information requirements which may be required to be provided to any regulatory, judicial, governmental or other authority by the FME; failing which the FME/Fund reserves the right to freeze the folio account of the investor(s) or effect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any.
- The FME reserves the right to inform the existing Distributor/Referral Agent of any request received from the investors which can directly or indirectly impact the distributors/referral agent.
- I/We hereby declare and confirm that the investment as detailed in the accompanying application form complies with the provisions of the Foreign Exchange Management Act, 1999 (FEMA Act), and the relevant rules, regulations, directions, and guidelines issued thereunder by the Reserve Bank of India (RBI) and the Government of India, including but not limited to the Foreign Exchange Management (Overseas Investment) Rules, 2022, and the Foreign Exchange Management (Overseas Investment) Regulations, 2022, as may be amended from time to time. I/We hereby declare and confirm that the source of funds for the proposed investment is through permissible means under the FEMA Act and does not involve any contravention of the provisions of the FEMA Act. I/We shall ensure ongoing compliance with the reporting and disclosure requirements as prescribed by the RBI for such overseas investments. I/We undertake to abide by all applicable provisions of the FEMA Act and to obtain all necessary approvals, wherever applicable, and to report to the RBI/Authorized Dealer as required. I/We hereby declare and confirm that all required filings, including but not limited to Form FC, Form ODI, Form FLA and Annual Performance Reports (APR), as applicable, will be made in accordance with the prescribed timelines. I/We hereby declare and confirm that the proposed investment is within the overall limit as prescribed by the RBI from time to time.
- I/We hereby request and authorize FME/ the Fund/ Registrar and Transfer Agent (RTA) to accept and process transaction requests (including subscriptions, redemptions, switches, or any other financial or non-financial instructions) relating to my/our investments in the schemes/funds managed by the FME, based on e-mail instructions received from my/our e-mail addresses duly registered with the FME. I/We acknowledge and agree that the instructions received from my/our registered e-mail addresses shall be treated as duly authorized and binding upon us as if executed in writing. I/We understand and accept the inherent risks of email communication, including transmission errors, unauthorized access, or miscommunication, and assume full responsibility for such risks. I/We understand and accept that the FME/ the Fund/ the RTA may, at its sole discretion, decline or defer acting on any e-mail instruction or part thereof received, if it has any doubts regarding authenticity, authorization, or clarity. It is my/ our responsibility to promptly notify the FME/ the Fund/ the RTA in writing of any changes to authorized signatories or designated e- mail addresses and provide updated authority letters or board resolutions as required. Any communication including reports, confirmations, documents, etc. sent to my/our registered e-mail address shall be deemed to have been duly delivered and received by me/us and shall be final and binding; and need not be followed by any physical copies, unless specifically required. I/We understand that the FME/ the Fund/ the RTA would be acting in good faith regarding any e-mail received from my/our registered e-mail address. I/We shall not hold the FME/ the Fund/ the RTA responsible for any losses or damages including legal fees resulting from any of their acts or omissions in respect of any instructions received from my/our registered e-mail address. I/We agree to indemnify and hold harmless the FME/ the Fund/ the RTA, its trustees, directors, officers, representatives, agents, and affiliates from and against any and all losses, liabilities, claims, costs (including legal fees), or damages arising from or related to their acting on any e-mail instructions received from my/our registered e-mail address.
- I/We hereby accord my/our consent to the FME/Fund(s) for collecting, receiving, possessing, storing, dealing, handling or disclosure of my/our personal data and authorize to disclose it to the third party or any person acting under a lawful contract with FME. I/We hereby grant my/our consent to FME, RTA and its associates to send all the communications/reports on all the email addresses specified in the form.
- This section shall form an integral part of the Agreement executed by us with Catalyst Trusteeship Limited ("Trustee") and HDFC AMC International (IFSC) Limited and shall be governed by the laws of India and any disputes arising out of or in respect of this letter shall be submitted to the non-exclusive jurisdiction of the Courts of Gandhinagar, Gujarat, India.

ANNUAL PRIVACY NOTICE:

HDFC AMC International (IFSC) Limited and its participating affiliates, covered under the SEC's UNIBANCO no action letters (the "Firm") and the pooled investment vehicles it advises (each, a "Client"/ "Fund") provide current and prospective clients and investors with the following information about their privacy policies for protecting the personal information of their current, former and prospective clients and investors. The Client/Fund, the Firm and the Clients/Funds' administrator (the "Administrator") collect personal information (which includes public and non-public personal information), such as name, address, taxpayer identification number, social security number, birth date, assets, income and investment experience, about current, former and prospective clients and investors. This information is compiled from (i) subscription documents and related forms and agreements completed by such persons; (ii) transactions between such persons and the Firm or the Client/Fund (e.g., account activity and balances); (iii) other third-party sources (e.g., credit reporting agencies); and (iv) other sources as may be mentioned in our Privacy Policy. Personal information is not shared with any unaffiliated third parties for their marketing purposes. The Client/Fund and the Firm will use, disclose and otherwise process personal information for their business, administrative and marketing purposes. The Client/Fund, the Firm and the Administrator also may reveal personal information in their possession about the Client/Fund, including but not limited to all investor details, to their regulators and appropriate government agencies, as necessary and permissible under applicable laws, in connection with legal proceedings or otherwise to assert and protect their legal interests or as part of a corporate transaction with a successor or affiliate. The Client/Fund, the Firm and the Administrator restrict access to such personal information to their personnel, their affiliates and affiliates' personnel, their service providers and their outside counsel, auditors and other independent professionals who need to know that information.

The Client/Fund and the Firm maintain, and the Administrator has represented that it maintains, appropriate administrative, technical, and organizational controls to safeguard such personal information, although such safeguards may not prevent all breaches of information security. If the security of personal information is compromised, the affected individuals will receive notice of the data security incident where required by and consistent with applicable law. Individuals may exercise their rights under applicable law to access such personal information as is held by the Firm or the Client/Fund, to rectify or delete any personal information which is factually incorrect, incomplete or irrelevant for the purpose for which it is processed and to raise any queries or concerns as to the use of their personal information, including making a request not to receive marketing materials, by contacting the Firm.

In order to use and disclose such personal information for the purposes described above, such personal information may be transferred internationally to countries which the European Union has deemed not to provide adequate data protection subject to provisions of applicable laws. Current, former and prospective clients and investors recognize the necessity of such international processing of personal information and consent to such international transfers of personal information, including social security and taxpayer identification numbers, as the Client/Fund, the Firm or the Administrator deems appropriate. The Client/Fund and the Firm will ensure, and the Administrator has represented that it will ensure, application of the same standards of privacy protection as set out in this privacy policy regardless of the country in which such personal information is processed.

The policy is effective as of the date of the product offering documents. If, at any time in the future, it is necessary to disclose any investor's personal information in a way that is materially inconsistent with this policy, the Client/Fund, the Firm and the Administrator will give such investor written notice of the change and, where required under applicable law, obtain consent to such change.


Signature of Sole/ First Applicant


Signature of Second Applicant


Signature of Guardian

Place: _____

Date:

D	D	M	M	Y	Y	Y	Y
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PART B: FORM FOR SUBSCRIPTION

1 INVESTMENT DETAILS

Name of Investor

Name of the Fund

Gross Subscription Amount (In words) (Amount in US Dollars)

Gross Subscription Amount (In figure) (Amount in US Dollars)

Subscription for Class of Units

2 CONSENT FOR RELIANCE OF EXISTING KYC DOCUMENTS & SUPPORTING

Name of Investor

Existing Folio No. (if any)

☒ I/We hereby declare/certify and confirm that:

- I/We agree and confirm that the Application Form/ earlier Application Form submitted by me/us along with the terms, conditions, declarations, consents and provisions accepted by me/us, shall remain unchanged and binding on me/us in relation to this new Folio creation request.
- I/We hereby voluntarily give my consent to HDFC AMC International (IFSC) Limited ("FME") and the Fund(s) to use my existing KYC documents on records which were submitted along with the Application Form/ earlier Application Form.
- I/We confirm that there are no changes in the details (Referring party/ distributor, FATCA declaration, address, bank, nominee etc.) provided with the CAF/earlier Application Form. In case of any change, I/we shall provide the same separately.
- I/We also undertake to provide all additional documents/Information, as may be prescribed/required by the FME or any regulatory bodies and undertake all necessary compliances in order to continue investments with the Fund.
- I/We hereby agree to the contents of this form and confirm all details provided therein are true.

3 AADHAAR CONSENT

☐ I/We hereby provide my/ our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/ authenticating and (ii) updating my/our Aadhaar Number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I/We hereby provide my/ our consent for sharing/disclosing of my Aadhaar number(s) including demographic information with the FME and its service providers including their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my/ our folios.

4 CONSENT FOR CKYC

☐ I/We hereby voluntarily give my/our consent to HDFC AMC International (IFSC) Limited ("FME") and their Fund(s) for downloading/ retrieving the CKYC record from the CKYC Registry.

 Signature of Sole/ First Applicant

 Signature of Second Applicant

 Signature of Guardian

Date

Place

5 NOMINATION FORM

Select any one Option

☐ I / We do not wish to nominate anyone

☐ I / We wish to nominate as under

Nomination Details

I / we am/are furnishing herewith the details for availing of the nomination facility for my/our investments in the Fund(s) offered by HDFC AMC International (IFSC) Limited in accordance with the existing laws. I / we hereby appoint the person(s) mentioned below in whom all rights and/ or amount payable in respect of all my/ our investments made on my / our death.

Nomination can be made up to three nominees in the account	Details of 1 st Nominee	Details of 2 nd Nominee	Details of 3 rd Nominee
Name of the Nominee(s)			
Share of each Nominee Equally [If not equally, please specify percentage]	%	%	%
Relationship with the Applicant			
Address of Nominee(s) :			
	PIN/ZIP	PIN/ZIP	PIN/ZIP
Mobile / Telephone No. of nominee(s):			
Email ID of Nominee(s) :			
Nominee Identification details : Please attach self-attested ID proof of the Nominee			
Below fields should be filled only if nominee(s) is a minor			
Date of Birth {in case of minor nominee(s)}			
Name of the Guardian			
Mobile / Telephone No. of Guardian:			
Email ID of Guardian			
Relation of Guardian with Nominee:			
Guardian Identification details: Please attach self-attested ID proof of the Guardian			

Declaration/ Undertaking: I / We hereby agree and confirm that in order to receive the Units (and / or amounts standing to my / our credit) in the event of my / our death, the nominee(s) shall furnish such documents as may be required by the Investment Manager to its satisfaction. I / We also understand and agree that the nominee(s), in order to receive the aforementioned Units (and / or amounts in respect of such Units), shall be obliged to pay any and all amounts which might be due and payable at that time, provided however, that the liability to make such payments shall not exceed the amount of my / our Capital Commitment. Transfer of Units (and / or payment of amounts in respect of such Units) by the Fund / FME / Trustee to my / our nominee(s), pursuant to receiving the requisite documents shall constitute full discharge of liabilities of the Fund / FME / Trustee towards my / our estate, legal heirs, executors, administrators and successors. I / We have read the rules and instructions overleaf on nomination specified herein and I / we hereby confirm to comply and adhere to such rules or any amendments that may be made from time to time.


Signature of Sole/
First Applicant


Signature of
Second Applicant


Signature of Guardian

Date

Place

INSTRUCTIONS FOR NOMINATION

- The nomination can be made only by individuals holding Units on their behalf singly or jointly. If the Units are held jointly, all joint holders shall sign the form.
- Non-individuals, including society, trust, body corporate, partnership firm, karta of hindu undivided family, holder of power of attorney cannot nominate.
- A minor can be nominated and, in that event, the name and address of the guardian of the minor nominee shall be provided by the Investor(s) / Applicants.
- Upon satisfactory completion of nomination formalities, the nomination shall be taken on record by the Investment Manager and duly noted into the Register of Beneficiaries.
- Nomination in respect of Units stands rescinded upon the transfer of such Units by the Applicants.
- Units shall be transferred in the name of the nominee(s) mentioned in this form if a request to this effect is filed with the Investment Manager, along with the documents as required by the Investment Manager.
- The Investment Manager has not been privy to any documents of succession, will, power of attorney, or any other such document and therefore shall not be governed by them or be liable to or be responsible for any legal heirs, executors, administrators and/or successors mentioned therein.
- The cancellation of the nomination can be made only by those individuals who hold the Units on their own behalf singly or jointly and who have made the original nomination as contained herein above. If the Units are held jointly, all joint holders will be required to sign the cancellation request.
- On cancellation of the nomination, the nomination shall stand rescinded and the Fund/ Trustee / Investment Manager shall not be under any obligation to transfer the Units in favour of the nominee(s).
- Capitalised terms shall have the meaning assigned to them under the Fund Documents.

ANNEXURE 1 - BANK ACCOUNT DETAILS

HDFC INTERNATIONAL - DEVELOPED MARKETS EQUITY FUND

The payment of subscription amount to be remitted to the following bank account:

Details of Beneficiary:	
Account Name	HDFC International - Developed Markets Equity Fund
Account Number	2056128424
Address	Unit No. 902 (Office-2), Ninth Floor, FLEXONE, Building Footprint 15C2, Block 15, Zone I GIFT SEZ, Gujarat International Finance Tec-City, Gandhinagar- 382050, Gujarat
Details of Beneficiary Bank:	
Beneficiary Bank Name	Kotak Mahindra Bank Limited, GIFT City
Beneficiary Bank SWIFT Code	KKBKINB2XXX
IFSC Code	GIFT0KKBK01
Bank Address	Floor 10, Hiranandani Signature, Block No 13 No. 13 GIFT SEZ, GIFT, City, Zone - 1, Gandhinagar – 382355, Gujarat, India
Details of Correspondent Bank:	
Correspondent Bank	Citi Bank
Correspondent Bank SWIFT Code	CITIUS33XXX
Correspondent Bank Address	New York, USA
Purpose of Remittance	
Subscription in HDFC International - Developed Markets Equity Fund by _____ (insert subscriber's name)	

Note: Kindly ensure to send the funds only through SWIFT mechanism with complete details. Do share copy of SWIFT Advice {MT103 copy} with us.

HDFC INTERNATIONAL - EMERGING MARKETS EQUITY FUND

The payment of subscription amount to be remitted to the following bank account:

Details of Beneficiary:	
Account Name	HDFC International - Emerging Markets Equity Fund
Account Number	4056563182
Address	Unit No. 902 (Office-2), Ninth Floor, FLEXONE, Building Footprint 15C2, Block 15, Zone I GIFT SEZ, Gujarat International Finance Tec-City, Gandhinagar- 382050, Gujarat
Details of Beneficiary Bank:	
Beneficiary Bank Name	Kotak Mahindra Bank Limited, GIFT City
Beneficiary Bank SWIFT Code	KKBKINB2XXX
IFSC Code	GIFT0KKBK01
Bank Address	Floor 10, Hiranandani Signature, Block No 13 No. 13 GIFT SEZ, GIFT, City, Zone - 1, Gandhinagar – 382355, Gujarat, India
Details of Correspondent Bank:	
Correspondent Bank	Citi Bank
Correspondent Bank SWIFT Code	CITIUS33XXX
Correspondent Bank Address	New York, USA
Purpose of Remittance	
Subscription in HDFC International - Emerging Markets Equity Fund by _____ (insert subscriber's name)	

Note: Kindly ensure to send the funds only through SWIFT mechanism with complete details. Do share copy of SWIFT Advice {MT103 copy} with us.

ANNEXURE 2 - FATCA INSTRUCTIONS & DEFINITIONS

1. Financial Institution (FI)

The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where its income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of-
 - (i) The three financial years preceding the year in which determination is made; or
 - (ii) The period during which the entity has been in existence, whichever is less.
- Investment entity is any entity:
 - That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
 - (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
 - (ii) Individual and collective portfolio management or
 - (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;

or

- The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.
 - An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:
 - (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
 - (ii) The period during which the entity has been in existence.
- The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03,04,05 and 06 - refer point 2c.)
- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.
 - FI not required to apply for GIIN:

A. Reasons why FI not required to apply for GIIN:

Code	Sub-category
1.	Governmental Entity, International Organization or Central Bank
2.	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
3.	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
4.	Entity is an Indian FI solely because it is an investment entity
5.	Qualified credit card issuer
6.	Investment Advisors, Investment Managers & Executing Brokers
7.	Exempt collective investment vehicle
8.	Trustee of an Indian Trust
9.	FI with a local client base
10.	Non-registering local banks
11.	FFI with only Low-Value Accounts
12.	Sponsored investment entity and controlled foreign corporation
13.	Sponsored, Closely Held Investment Vehicle
14.	Owner Documented FFI

2. Non-Financial entity (NFE) - Foreign entity that is not a financial institution

Types of NFEs that are regarded as excluded NFE are:

a. Publicly traded company (listed company)

A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

b. Related entity of a publicly traded company

The NFE is a related entity of an entity of which is regularly traded on an established securities market;

B. Active NFE: (is any one of the following):

Code	Sub-category
1.	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
2.	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
3.	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
4.	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
5.	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
6.	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
7.	Any NFE that fulfills all of the following requirements: • It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; • It is exempt from income tax in India; • It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation: For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (i) an Investor Protection Fund referred to in clause (23EA); (ii) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (iii) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

3. Other Definitions**(i) Related entity**

The beneficial owner is defined as the natural person or persons who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity,

(ii) Passive NFE**The term passive NFE means**

(i) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or

(ii) an investment entity defined in clause (b) of these instructions

(iii) a withholding foreign partnership or withholding foreign trust;

(Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

(iii) Passive income

The term passive income includes income by way of:

(1) Dividends

(2) Interest

(3) Income equivalent to interest

(4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE

(5) Annuities

(6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income

(7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets

(8) The excess of foreign currency gains over foreign currency losses

(9) Net income from swaps

(10) Amounts received under cash value insurance contracts but passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money- Laundering (Maintenance of Records) Rules, 2005. In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

Pursuant to guidelines on identification of Beneficial Ownership issued vide SEBI circular no. CIR/MIRSD/2/2013 dated January 24, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:

- i) More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
- ii) More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or
- iii) More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

A. Reasons by FI not required to apply for GIIN:

Code	Sub-category
1.	CP of legal person-ownership
2.	CP of legal person-other means
3.	CP of legal person-senior managing official
4.	CP of legal arrangement-trust-settlor
5.	CP of legal arrangement-trust-trustee
6.	CP of legal arrangement-trust-protector
7.	CP of legal arrangement-trust-beneficiary
8.	CP of legal arrangement-trust-other
9.	CP of legal arrangement-Other-settlor equivalent
10.	CP of legal arrangement-Other-trustee equivalent
11.	CP of legal arrangement-Other-protector equivalent
12.	CP of legal arrangement-Other-beneficiary equivalent
13.	CP of legal arrangement Other other equivalent

v) Specified U.S. person - A U.S person other than the following:

- i) a corporation the stock of which is regularly traded on one or more established securities markets;
- ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (1);
- iii) the United States or any wholly owned agency or instrumentality thereof;
- iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- v) any organization exempt from taxation under section 501 (a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701 (a)(37) of the U.S. Internal Revenue Code;
- vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- ix) any common fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- x) any trust that is exempt from tax under section 6640© of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- xii) a broker as defined in section 6045© of the U.S. Internal Revenue Code; or
- xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

vi) Owner documented FFI

FFIAn FFI meets the following requirements:

- a) The FFI is an FFI solely because it is an investment entity;
- b) The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company;
- c) The FFI does not maintain a financial account for any non participating FFI;
- d) The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and
- e) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

vii) Direct reporting NFFE

A direct reporting NFFE means a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

viii) Exemption code for U.S. persons

Code	Sub-category
A)	An organization exempt from tax under section 501 (a) or any individual retirement plan as defined in section 7701(a)(37)
B)	The United States or any of its agencies or instrumentalities
C)	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D)	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(I)
E)	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(I)
F)	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G)	Real estate investment trust
H)	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I)	A common trust fund as defined in section 584(a)
J)	A bank as defined in section 581
K)	A broker
L)	A trust exempt from tax under section 664 or described in section 4947(a)(1)
M)	A tax exempt trust under a section 403(b) plan or section 457(g) plan

CERSAI Form (If CKYC not done & KIN is not available)

Important Instructions:

- A) Fields marked with '*' are mandatory fields.
 B) Tick 'ü' wherever applicable.
 C) Please fill the form in English and in BLOCK letters.
 D) Please fill the date in DD-MM-YYYY format.
 E) For particular section update, please tick (✓) section number and strike off the sections not required to be updated.

- F) Please read section wise detailed guidelines / instructions at the end.
 G) List of State/U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
 H) List of two character ISO 3166 country codes is available at the end.
 I) KYC number of applicant is mandatory for update application.
 J) The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face



For office use only

(To be filled by financial institution)

Application Type*

☐ New ☐ Update

KYC Number

☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ (Mandatory for KYC update request)

Application Type*

☐ Normal ☐ Minor ☐ Aadhaar OTP based E-KYC (in non-face to face mode)

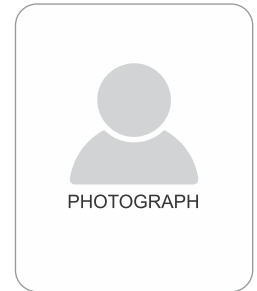
1. PERSONAL DETAILS* (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
☐ Name* (Same as ID proof)				
Maiden Name				
Father / Spouse Name				
Mother Name				
Date of Birth*				
Gender*	☐ M-Male ☐ F-Female ☐ T-Transgender			
PAN*		☐ Form 60 furnished		

2. PROOF OF IDENTITY AND ADDRESS* (Please refer instruction B at the end)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- ☐ A- Passport Number
☐ B-Voter ID Card
☐ C-Driving Licence
☐ D-NREGA Job Card
☐ E-National Population Register Letter
☐ F-Proof of Possession of Aadhaar
 II. ☐ E-KYC Authentication
 III. ☐ Offline verification of Aadhaar



Address

Line 1*
 Line 2
 Line 3
 District* Pin/Post Code* State/U.T Code* ISO 3166 Country Code*

3. CURRENT ADDRESS DETAILS (Please refer instruction B at the end)

☐ Same as above mentioned address (In such cases address details as below need not be provided)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- ☐ A- Passport Number
☐ B-Voter ID Card
☐ C-Driving Licence
☐ D-NREGA Job Card
☐ E-National Population Register Letter
☐ F-Proof of Possession of Aadhaar
 II. ☐ E-KYC Authentication
 III. ☐ Offline verification of Aadhaar

Address

Line 1*
 Line 2
 Line 3
 District* Pin/Post Code* State/U.T Code* ISO 3166 Country Code*

[illegible]

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. Incase any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date:

D	D
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M	M
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Y	Y	Y	Y
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Signature/Thumb Impression of Applicant

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline verification ☐ Digital KYC Process
☐ Equivalent e-document ☐ Video Based KYC

Date	DD	-	MM	-	YYYY
Emp. Name					
Emp. Code					
Emp. Designation					
Emp. Branch					

[illegible]